**INAF U6164**

**Political Economy of Development: Africa and the World**

School of International and Public Affairs

Columbia University

Spring 2013

**Instructor:**

Christopher Blattman

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**Lecture**: Tuesdays 2:10-4:00pm

**Recitation sections:** To be determined

**Office Hours:**

Blattman: Tuesdays 9-11:40am or by appointment, sign up online: <http://chrisblattman.com/about/officehours/>

Dumas: To be determined

**Credits:** 3

**Prerequisites:** None

**Course Overview:**

Why are some countries so poor, repressive, and violent? Why have some seen growing incomes and freedoms while others stagnate or decline? What is the root of state capacity, political participation, and other aspects of “political development”? What role has the West played in both failure and success, and what role (if any) should it play in future? The goal is to get students to think critically about development theory and practice.

This course tackles the big questions and theories in development through the case of sub-Saharan Africa. We compare development patterns within Africa, but understand the continent (and the process of development) by comparing it to the Americas, Asia, and (to some extent) the development of the West.

The course is political in two senses. First, we explore the politics of economic development—the role of leaders, political systems, and institutions in promoting or retarding economic growth. Second, we look at political development as an end in itself—the forces that drive systems of representation versus repression, and property rights versus expropriation.

Finally, we interrogate the effects of Western intervention in the developing world, from slavery and colonialism to modern-day geopolitics, foreign policy, aid, trade, peacekeeping, and democratization.

**Grading:**

Attendance 5%

Problem set 5%

Written assignments 20%

Midterm 30%

Final exam 40%

*Attendance (5%):* An attendance sheet will be circulated at the beginning of each class by the TA. You are responsible for ensuring you sign your name. Your grade will be proportional to the lectures you attend. You may not sign on another’s behalf; violators risk penalties at minimum and potentially failure of the course.

*Problem set (5%):* You will complete a quantitative problem set in order to familiarize yourself with the development models we discuss in lecture: simple growth and “big push” models. If you have taken an economic development or growth course (e.g. INAF U6602 or U8145), this will be familiar, and much easier. If you have not, this will be a preview, and help you down the road.

*Assignments (20%):* You will need to hand in three written assignments throughout the semester. There will be five opportunities to hand one in over the semester, but you only do three. (If you complete added assignments, you will not receive additional credit or replace a poor grade.) The assignments require you to use, analyze, critique, or synthesize the readings and lecture material. They are designed to give you an incentive both to read and think critically about the lectures and readings, and an opportunity to internalize some of the lessons for core themes in the course. The ability to synthesize and critique large amounts of complex material in two to four pages is one of the most important skills you can learn. You will use these skills in your professional life more often than you think: writing policy or business memos, e-mails, letters, and articles. These assignments are designed to foster this skill. Specific guidelines are as follows:

Answer the assigned question using the reading materials as well as your own thoughts and opinions. Focus on argument and not excessive summarization of course material.

If use additional materials to answer the question (permitted but not required) you must properly reference these sources.

Each essay should be less than four pages in length (double-spaced, 1 inch margins, 12 point font).

Your TA will give you instructions on when and how to submit the assignment. Late assignments are not accepted, since you can submit in future weeks.

Essays will be given a letter grade: (A) clever and interesting, (B) meets expectations, (C) below expectations, and (D) needs serious improvement. If fewer than 4 assignments are submitted, missing comments will receive a zero.

To receive a strong grade, comments should display knowledge from multiple readings. How you organize your essay is up to you, but the best strategy is probably to have three to five clear, persuasive points or ideas, backed up with argument or evidence. Comments should also be written in concise prose—that is, sentences rather than phrases or bullets. You should avoid simply repeating material from the readings, and avoid obvious criticisms if possible.

*Midterm (30%*): The midterm is in class, and will be 110 minutes long. I will distribute a list of 6 to 8 essay questions in advance of the midterm. Your final will include three or four of these essay questions, and you will be required to answer two or three (to be determined). The more you research and prepare your answers in advance, the better and more organized your essays will be on the final. You should not divide and conquer these questions with classmates, but research and study them all independently.

*Final exam (40%*): The final exam will be held during the exam slot designated by the University registrar.I will distribute a list of 8 to 10 essay questions in advance of the final. Your final will include four or five of these essay questions, and you will be asked to answer three or four (to be determined). The more you research and prepare your answers in advance, the better and more organized your essays will be on the final. You should not divide and conquer these questions with classmates, but research and study them all independently.

**Academic Integrity Statement:** The School of International & Public Affairs does not tolerate cheating and/or plagiarism in any form. Those students who violate the Code of Academic & Professional Conduct will be subject to the Dean’s Disciplinary Procedures. Cut and paste the following link into your browser to view the Code of Academic & Professional Conduct online. http://sipa.columbia.edu/resources\_services/student\_affairs/academic\_policies/deans\_discipline\_p olicy.html

Please familiarize yourself with the proper methods of citation and attribution. The School provides some useful resources online; we strongly encourage you to familiarize yourself with these various styles before conducting your research:

http://sipa.columbia.edu/resources\_services/student\_affairs/academic\_policies/code\_of\_conduct.h tml

Violations of the Code of Academic & Professional Conduct should be reported to the Associate Dean for Student Affairs.

**Readings:**

The majority of readings (below) will be articles or chapters. For the most part these will be downloadable online. Chapters that are not online should be available in a Courseworks folder, either through a direct html link or a scanned reading.

There are three books where half to two-thirds of the chapters are required (and the remainder are recommended). One is free online and the others you should buy. All should be available in the campus bookstore and on reserve in the library, but sometimes these books are cheaper (new and used) online than in the bookstore. Double check.

We are going to read most of the following books:

John Ishiyama (2012). Comparative Politics: Principles of Democracy and Democratization. Wiley Blackwell. ([Available free online through Columbia Library](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=8834125))

Nicolas van de Walle (2001). African Economies and the Politics of Permanent Crisis, 1979-1999. Cambridge, Cambridge University Press.

Stanley Engerman and Kenneth Sokoloff (2012). Economic Development in the Americas Since 1500: Endowments and Institutions.

If you would like a basic introduction to African development, I recommend this book (it is not assigned or required for the course):

Todd J. Moss (2011 edition). *African development* *(1st or 2nd Ed)*. London, Lynne Rienner.

**Weekly readings**

“Required” readings are, well, required—you’ll need to show that you’ve read and understand them for your weekly assignments, and everything in them is testable.

“Recommended” readings are optional. I will sometimes highlight their findings in lecture. They are also potential sources of material for your assignments, or there for you to explore topics of special interest.

“Further reading” are designed to give you a sense of the books or articles I think are most important for you to read in future.

# Part I: Introduction to Growth and Development: Facts and Theories

**Week 1: Introduction: World Development (Jan 22)**

*Required readings*

Binyavanga Wainaina (2005). [How to Write About Africa](http://www.granta.com/Magazine/92/How-to-Write-about-Africa/Page-1). *Granta* 92.

Amartya Sen (1998). “[The Concept of Development](http://ac.els-cdn.com/S1573447188010046/1-s2.0-S1573447188010046-main.pdf?_tid=b8259c54-5f4d-11e2-9275-00000aab0f27&acdnat=1358279998_3cf03b0bf1f4cd7273eaf5af61f1959e),” Handbook of Development Economics, Volume 1, Edited by H. Chenery and T.N. Srinivasan, Elsevier Science Publishers. (All Handbook articles available online [here](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=7624293))

Engerman & Sokoloff, Chapter 1 (p. 9-30). (*Only this first chapter is on Courseworks*)

William Easterly (2009) ["Can the West Save Africa?"](http://proquest.umi.com/pqdlink?index=3&did=1761824791&SrchMode=3&sid=1&Fmt=6&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1325692576&clientId=13766&aid=1) Journal of Economic Literature 47(2). ***Sections 1-3.***

*Recommended readings*

Angus Maddison. 2001. “[The World Economy: A Millennial Perspective](http://lysander.sourceoecd.org/vl%3D18309421/cl%3D17/nw%3D1/rpsv/ij/oecdthemes/99980010/v2001n8/s1/p1l)” OECD. Chapters 1 and 3.

Wainaina talks about why he wrote *How to Write About Africa* in these YouTube videos: [Part 1](http://www.youtube.com/watch?v=3d9qlHW8_3s), [Part 2](http://www.youtube.com/watch?NR=1&feature=endscreen&v=n7puuPMzxRU), and [Part 3](http://www.youtube.com/watch?v=81HRvT8m8Ys&feature=related)

Thomas O’Toole (2007). “The Historical Context.” Chapter 3 in *Understanding Contemporary Africa*. Edited by A. A. Gordon and D. L. Gordon. London, Lynne Rienner Publishers (p. 23-56). (*see Courseworks*)

Pritchett, Lant. 1997. "[Divergence, Big Time](http://www.jstor.org/stable/2138181)" The Journal of Economic Perspectives 11 (3):3-17.

Maxim Pinkovskiy and Xavier Sala‐i‐Martin (2010) [African Poverty is Falling...Much Faster than You Think!](http://www.nber.org/papers/w15775)

*Further reading*

Abhijit Banerjee and Esther Duflo (2006). “[The Economic Lives of the Poor](http://econ-www.mit.edu/files/530),” Journal of Economic Perspectives, 21(1), 141-167

Maddison, Angus. 2001. “[The World Economy: A Millennial Perspective](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=10054098)” OECD. (Free online through Columbia Library)

Cooper, Frederick. *Africa since 1940: the past of the present*. Vol. 1. Cambridge University Press, 2002.

Iliffe, John. *Africans: the history of a continent*. Vol. 85. Cambridge University Press, 1995.

**Week 2: Growth and development theory (Jan 29)**

***Special recitation this week: Review of growth and development theories***

*Required readings*

Chapters 3 (**mainly p109-115, 122-132 and 142-149**) and 4 of Michael Todaro and Stephen Smith (2009). Economic Development. 10 ed***.*** (*see Courseworks*)

**Chapter 1** of Banerjee, Abhijit, and Esther Duflo. 2011. [*Poor economics: a radical rethinking of the way to fight global poverty*](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=9940438). Public Affairs. (Free online through Columbia library)

Krugman, Paul. "[The fall and rise of development economics](http://rrojasdatabank.info/krugman1994.pdf)." *Rethinking the Development Experience: Essays Provoked by the Work of Albert O. Hirschman* (1994): 39-58.

Ishiyama, Chapter 3

*Recommended readings*

**p117-135** in Chapter 4 of Perkins, D. H., S. Radelet, et al. (2006). Economics of development. New York, W. W. Norton & Company. (*see Courseworks*)

**Chapters 2 to 5** of Banerjee, Abhijit, and Esther Duflo. 2011. [*Poor economics: a radical rethinking of the way to fight global poverty*](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=9940438). Public Affairs.

Banerjee, Abhijit V., and Esther Duflo. "[Growth theory through the lens of development economics](http://www.sciencedirect.com/science/article/pii/S1574068405010075)." *Handbook of Economic Growth*1 (2005): 473-552. **Especially sections 1-4.**

Azariadis, Costas, and John Stachurski. "[Poverty traps](http://www.sciencedirect.com/science/article/pii/S1574068405010051)." *Handbook of economic growth* 1 (2005): 295-384. **Especially Parts 1 to 4. Skim remainder.**

Explore chapters in the [Handbooks to Development Economics](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=7624293), free online

*Further reading*

Amartya Sen. 1999. Development as Freedom. Oxford: Oxford University Press.

Uma Kothari and Martin Minogue, eds. (2001). Development Theory and Practice: Critical Perspectives. Palgrave.

Albert O. Hirschman (1958), The Strategy of Economic Development. New York: WW Norton & Company.

Colin Leys (2009). The Rise and Fall of Development Theory. Indiana University Press.

*For an advanced economic growth text:* Aghion, Philippe, and Peter Howitt. "[The economics of growth](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=8515141)." (2009). (Free online through Columbia Library)

# Part II: Forces of Development in the Long Run

**Week 3: Geography (Feb 5)**

***\*\* Problem set due Friday February 8th.***

*Required readings*

Mellinger, Andrew D., Jeffrey D. Sachs, and John L. Gallup (1999). "[Climate, Water Navigability, and Economic Development](http://www.earthinstitute.columbia.edu/sitefiles/file/about/director/pubs/024.pdf)," unpublished working paper.

Engerman & Sokoloff, Chapter 2

**Chapter 1** in Jeffrey Herbst (2000). *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton: Princeton University Press. (*see Courseworks*)

**Chapters 1 and 2** of David Landes (1999). The Wealth and Poverty of Nations: Why Some Are So Rich and Some So Poor. (*see Courseworks*)

**Chapter 4** of Jared Diamond (1997). *Guns, Germs, and Steel*. New York: W.W. Norton & Co. (*see Courseworks*)

*Recommended readings*

Ishiyama, Chapter 1

Sachs, Jeffrey, and Pia Malaney. "[The economic and social burden of malaria](http://www.rbm.who.int/cmc_upload/0/000/015/330/415680a_r.pdf)." *Nature* 415.6872 (2002): 680-685.

Marcella Alsan (2012). “[The Effect of the Tse Tse Fly on African Development](http://www.people.fas.harvard.edu/~malsan/tsetse.pdf),” unpublished working paper.

W. Easterly and R. Levine, “[Tropics, germs, and crops: the role of endowments in economic development](http://www.sciencedirect.com/science?_ob=ArticleURL&_udi=B6VBW-47HKC9S-1&_user=483692&_rdoc=1&_fmt=&_orig=search&_sort=d&view=c&_acct=C000022720&_version=1&_urlVersion=0&_userid=483692&md5=774f09033be59f09307a06626c5f42f5)” Journal of Monetary Economics, 50:1, January 2003.

Nathan Nunn and Diego Puga (2009) "[Ruggedness: The Blessing of Bad Geography in Africa](http://www.economics.harvard.edu/faculty/nunn/files/rugged.pdf)"

Nathan Nunn and Nancy Qian (2009), "[The Columbian Exchange: A History of Disease, Food, and Ideas](http://pubs.aeaweb.org/doi/pdfplus/10.1257/jep.24.2.163)"

*Further reading*

David Landes (1999). The Wealth and Poverty of Nations: Why Some Are So Rich and Some So Poor.

Paul Collier (2007). The Bottom Billion. Oxford, Oxford University Press. (Chapter 3, “The Natural Resource Trap”)

**Week 4: “Institutions” and development (Feb 12)**

*Required readings*

Ishiyama, Chapter 2

Tilly, Charles. "Coercion, Capital, and European States [1990]." *Contemporary Sociological Theory* (2012): 251-265. (*see Courseworks*)

**Sections 1 to 4** of Daron Acemoglu, Simon Johnson, and James Robinson. (2005). "[Institutions as a fundamental cause of long-run growth](http://www.sciencedirect.com/science/article/pii/S1574068405010063)." Handbook of economic growth 1: 385-472.

Engerman and Sokoloff, Chapters 3 and 10

*Recommended readings*

Thelen, Kathleen. "[Historical institutionalism in comparative politics](http://www.annualreviews.org/doi/abs/10.1146/annurev.polisci.2.1.369)." *Annual review of political science* 2.1 (1999): 369-404.

North, D. C., J. J. Wallis, and Barry Weingast. (2006). [A conceptual framework for interpreting recorded human history](http://www.nber.org/papers/w12795), National Bureau of Economic Research. 12795.

Landes, D. S. (2006). "[Why Europe and the West? Why Not China](http://www.jstor.org/stable/10.2307/30033648)?" Journal of Economic Perspectives 20(2): 3-22.

Acemoglu, Daron (2011). [Political Economy of Development Lecture Notes](http://economics.mit.edu/files/7625), pages 5-64.

Acemoglu, Daron, Simon Johnson, and James Robinson. (2003). "[Disease and Development in Historical Perspective](http://onlinelibrary.wiley.com/doi/10.1162/154247603322391035/abstract)." Journal of the European Economic Association 1(2-3): 397-405.

Pierson, Paul. "[Increasing returns, path dependence, and the study of politics](http://www.jstor.org/stable/2586011)." *American Political Science Review* (2000): 251-267.

Glaeser, Edward L., et al. "[Do institutions cause growth](http://link.springer.com/article/10.1023/B%3AJOEG.0000038933.16398.ed?LI=true#page-1)?" Journal of Economic Growth 9.3 (2004): 271-303.

Rodrik, D., A. Subramanian, et al. (2004). "[Institutions Rule: The Primacy of Institutions Over Geography and Integration in Economic Development](http://www.mi.iie.com/publications/papers/subramanian0204.pdf) " Journal of Economic Growth 9(2): 131-165.

López de Silanes, Florencio. "[Economic consequences of legal origins](http://www.jstor.org/stable/10.2307/27646991)." Journal of economic literature (2008).

Timothy Besley and Maitreesh Ghatak, “[Property Rights and Economic Development](http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1372563).” In Dani Rodrik and Mark Rosenzweig, editors: Handbook of Development Economics, Vol. 5, The Netherlands: North-Holland, 2010, pp. 4525-4595.

Lant Pritchett, Michael Woolcock and Matt Andrews. 2010. “[Capability Traps: The Mechanisms of Persistent Implementation Failure](http://www.hks.harvard.edu/fs/lpritch/NEW%20docs%2C%20ppts%2C%20etc/capability%20traps%20wpversion.pdf),” Background paper for the 2011 World Development Report on Development, Conflict and Fragile States.

*Further reading*

Tilly, C. (1992). *Coercion, Capital, and European States*, AD 990–1992. Cambridge, MA, Blackwell.

Moore, Barrington. *Social origins of dictatorship and democracy: Lord and peasant in the making of the modern world*. Vol. 268. Beacon Press, 1993.

Acemoglu, D. and J. Robinson (2012). Why Nations Fail: The Origins of Power, Prosperity, and Poverty, Crown Publishing Group (NY).

Besley, T. J. and T. Persson (2011). Pillars of Prosperity: The Political Economics of Development Clusters. Princeton, Princeton University Press.

North, D. C., J. J. Wallis, et al. (2009). Violence and social orders, Cambridge University Press.

North, D. C. (1990). Institutions, institutional change, and economic performance. Cambridge, Cambridge University Press.

Rohini Pande and Christopher Udry. [Institutions and Development: A View from Below](http://ksghome.harvard.edu/~rpande/papers/institutions_draft.pdf), in the *Proceedings of the 9th World Congress of the Econometric Society*, edited by R. Blundell, W. Newey, and T. Persson, Cambridge University Press, 2005.

**Week 5: Legacies of slavery and colonialism (Feb 19)**

***\*\* Written assignment 1 due Monday February 18th.***

*Required readings*

Engerman and Sokoloff, Chapter 9

**Chapter 5** in Jeffrey Herbst (2000). *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton: Princeton University Press. (*see Courseworks*)

**Chapter 2** of Mahmood Mamdani (1996). “Citizen and Subject: Contemporary Africa and the Legacy of Late Colonialism,” Princeton: Princeton University Press. (*see Courseworks*)

Jomo Kenyatta, Facing Mount Kenya, p. 305-6 only (*see Courseworks*)

*Recommended readings*

William Easterly, Alberto Alesina and J. Matuszeski, “[Artificial States](http://onlinelibrary.wiley.com/doi/10.1111/j.1542-4774.2010.01009.x/abstract)”, in the Journal of the European Economic Association, forthcoming

**Chapters 1 and 3** of Mahmood Mamdani (1996). “Citizen and Subject: Contemporary Africa and the Legacy of Late Colonialism,” Princeton: Princeton University Press.

Huillery, Elise. "[History matters: The long-term impact of colonial public investments in French West Africa](http://spirepprd.sciences-po.fr/hdl%3A/2441/10262/resources/huillery-aejapp-2007-0034-manuscript.pdf)." *American Economic Journal: Applied Economics* 1.2 (2009): 176-215.

Huillery, Elise. "[The Impact of European Settlement within French West Africa: Did pre-colonial prosperous areas fall behind?](http://jae.oxfordjournals.org/content/20/2/263.short)." *Journal of African Economies* 20.2 (2011): 263-311.

Nathan Nunn and Leonard Wantchekon, “[The Slave Trade and the Origins of Mistrust in Africa](http://www.economics.harvard.edu/faculty/nunn/files/Nunn_Wantchekon_AER_2011.pdf)” American Economic Review 101 (December 2011): 3221–3252

Nathan Nunn. “[The long-term effects of Africa's slave trades](http://www.economics.harvard.edu/faculty/nunn/files/empirical_slavery.pdf)”. Quarterly Journal of Economics, 123(1): 139-176, 2008.

Heldring, Leander, and James A. Robinson. [*Colonialism and Economic Development in Africa*](http://www.nber.org/papers/w18566). No. w18566. National Bureau of Economic Research, 2012.

Michalopoulos, Stelios, and Elias Papaioannou. [*National Institutions and African Development: Evidence from Partitioned Ethnicities*](http://www.nber.org/papers/w18275). No. w18275. National Bureau of Economic Research, 2012.

*Further reading*

Migdal, Joel S. 1988. Strong societies and weak states: State-society relations and state capabilities in the Third World. Princeton: Princeton University Press.

Mahmood Mamdani (1996). “Citizen and Subject: Contemporary Africa and the Legacy of Late Colonialism,” Princeton: Princeton University Press.

Franz Fanon (2004). The Wretched of the Earth, Grove Press.

Jeffrey Herbst (2000). *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton: Princeton University Press.

# Part III: Difficulties of development in the Late 20th Century

**Week 6: Post-colonial politics: Personal and patrimonial rule (Feb 26)**

***\*\* Written assignment 2 due Monday February 25th.***

*Required readings*

Van de Walle, Introduction and Chapter 3

Speech exerpts from Nyerere and Nkrumah. Sections 6.3 and 6.4 in The Africans: A *Reader*, ed. A. A. Mazrui et al. New York: Praeger Publishers. pp. 178-88. (*see Courseworks*)

Crawford Young (2004). "[The end of the post-colonial state in Africa? Reflections on changing African political dynamics.](http://afraf.oxfordjournals.org/cgi/content/abstract/103/410/23)" African Affairs 103(410).

Engerman and Sokoloff, Chapter 8

*Recommended readings*

Fred Hayward. 1986. "In Search of Stability: Independence and Experimentation." Chapter 6 in *The Africans: A Reader*, ed. A. A. Mazrui et al. New York: Praeger Publishers. ([Chapter 6, including readings 6.1](http://resources.library.yale.edu/ereserves/default.asp?class=PLSC170B&File=PLSC_170B_13.pdf) to 6.4, [especially those by Nyerere and Nkrumah](http://resources.library.yale.edu/ereserves/default.asp?class=PLSC170B&File=PLSC_170B_5.pdf))

*Further reading*

Catherine Boone (2003). “Political Topographies of the African State,” Cambridge University Press.

George Ayittey (2005). Africa Unchained, Palgrave Macmillan. (Chapters 5 and 6)

Robert H. Jackson and Carl G. Rosberg (1982). “Personal Rule in Black Africa: Prince, Autocrat, Prophet, Tyrant”. University of California Press.

**Week 7: The “Lost Decade”: Crisis and reform (Mar 5)**

*Required readings*

van de Walle, Chapters 1, 2 and 4

Ravi Kanbur (2008). “[The Co-Evolution of the Washington Consensus and the Economic Development Discourse](http://ageconsearch.umn.edu/bitstream/48920/2/WP%202009-05%20The%20Co-Evolution.pdf)”.

**Pages 35 to 40 in Chapter 3**, Uma Kothari, “Feminist and postcolonial Challenges to Development”, in Uma Kothari and Martin Minogue, eds. (2001). Development Theory and Practice: Critical Perspectives. Palgrave. (*see Courseworks*)

*Recommended readings*

[Interview with Joe Stiglitz: Time to snuff the IMF?](http://www.leftbusinessobserver.com/Stiglitz.html)

Williamson, John: [What Washington Means by Policy Reform](http://www.iie.com/publications/papers/paper.cfm?researchid=486%22%20%5Co%20%22http://www.iie.com/publications/papers/paper.cfm?researchid=486), in: Williamson, John (ed.): *Latin American Readjustment: How Much has Happened*, Washington: Institute for International Economics 1989.

*Further reading*

Joseph E. Stiglitz (2003). Globalization and Its Discontents.

Widner, Jennifer A., ed. *Economic change and political liberalization in Sub-Saharan Africa. Baltimore: Johns Hopkins University Press, 1994.*

Uma Kothari and Martin Minogue, eds. (2001). Development Theory and Practice: Critical Perspectives. Palgrave.

Donald Gordon (2007). “African Politics.” Chapter 4 in *Understanding Contemporary Africa*. A. A. Gordon and D. L. Gordon. London, Lynne Rienner Publishers.

John K. Walton and David Seddon (1994). “Free Markets and Food Riots: The Politics of Global Adjustment”. Wiley-Blackwell.

**Week 8: Midterm (in class, Mar 12)**

**Week 9: The politics of foreign aid and humanitarianism (Mar 26)**

*Required readings*

UN Millennium Project, Jeffrey D. Sachs, [Investing in Development: A Practical Plan to Achieve the Millennium Development Goals](http://www.unmillenniumproject.org/documents/MainReportComplete-lowres.pdf), January 2005 (Chapters 1 and 2)

van de Walle, Chapter 5

William Easterly (2008). ["Can the West Save Africa?"](http://proquest.umi.com/pqdlink?index=3&did=1761824791&SrchMode=3&sid=1&Fmt=6&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1325692576&clientId=13766&aid=1) Journal of Economic Literature. (*Note:* Sections 1 to 3 were assigned Week 1. Read only Sections 4 to end if you read the us parts, otherwise read whole paper.)

Moss, Todd, Gunilla Pettersson, and Nicolas Van de Walle (2006). "[An aid-institutions paradox? A review essay on aid dependency and state building in sub-Saharan Africa](http://www.cgdev.org/content/publications/detail/5646/)." *Center for Global Development working paper* 74.

Video: Lant Pritchett (2010) [The best of aid](http://aidwatchers.com/2010/03/how-is-the-aid-industry-like-a-piano-recita/).

*Recommended readings*

Ahmed, Faisal Z. "[The Perils of Unearned Foreign Income: Aid, Remittances, and Government Survival](http://journals.cambridge.org/action/displayAbstract?fromPage=online&aid=8504665)." *American Political Science Review* 106.1 (2012): 146-165.

De Mesquita, Bruce Bueno, and Alastair Smith. "[A political economy of aid](http://journals.cambridge.org/action/displayAbstract?fromPage=online&aid=5467164)." *International Organization* 63.02 (2009): 309-340.

Michael Barnett and Thomas G. Weiss (2008). “Humanitarianism: A Brief History of the Present,” in *Humanitarianism in Question: Politics, Power and Ethics*. Barnett and Weiss, eds. Cornell University Press. (*see Courseworks*)

Podcast with Binyavanga Wainaina: “[The Ethics of Aid: One Kenyan’s Perspective](http://speakingoffaith.publicradio.org/programs/2009/ethicsofaid-kenya/)” (or see [transcript](http://speakingoffaith.publicradio.org/programs/2009/ethicsofaid-kenya/transcript.shtml))

Steven Radelet (2006). [A Primer on Foreign Aid](http://cgdev.org/content/publications/detail/8846/), CGD Working Paper 92.

Michael Clemens and Todd Moss (2005). [What's Wrong with the Millennium Development Goals?](http://www.cgdev.org/content/publications/detail/3940) CGD Brief.

Andrew Mwenda, [Africa and the Curse of Foreign Aid](http://www.youtube.com/watch?v=gEI7PDrVc9M) (Video)

Eric Werker and Faisal Z. Ahmed (2008). “[What Do Nongovernmental Organizations Do?](http://www.jstor.org/stable/10.2307/27648242)” Journal of Economic Perspectives 22:2.

Glenn Hubbard (video): [How to Fix the Aid Crisis](http://www.5min.com/Video/How-to-Fix-the-Aid-Crisis-297280068)

Nancy Birdsall (2004). Seven Deadly Sins: Reflections on Donor Failings, CGD Working Paper 50.

William Easterly and Tobias Pfutze, [Where Does the Money Go? Best and Worst Practices in Foreign Aid](http://www.jstor.org/stable/10.2307/27648240)" Journal of Economic Perspectives, Vol. 22, No.2, Spring 2008.

Alesina, A. and D. Dollar (2000). “[Who Gives Foreign Aid to Whom and Why?](http://www.jstor.org/stable/40216022)” *Journal of Economic Growth*, 5, 33-64.

*Further reading*

Sachs, Jeffrey. *The end of poverty: economic possibilities for our time*. Penguin Group USA, 2006.

Ostrom, Elinor, Clark Gibson, Sujai Shivakumar, and Krister Andersson. 2002. "[Aid, Incentives, and Sustainability: An Institutional Analysis of Development Cooperation (Summary Report).](http://www.sida.se/Global/About%20Sida/Sida%20Utv%C3%A4rderingar/Study%20on%20Aid%20Incentives%20Summary%20Report.pdf)" Sida Studies in Evaluation 02/01:1.

William Easterly (2001). The Elusive Quest for Economic Growth: Economists’ Adventures and Misadventures in the Tropics. Cambridge, MIT Press.

William Easterly (2006). “The White Man’s Burden: Why the West’s Efforts to Aid the Rest Have Done So Much Ill, and So Little Good.” New York, Penguin Press.

Dambisa Moyo (2009). Dead Aid.

Alex de Waal (1997). “Famine crimes: Politics & the disaster relief industry in Africa.” London : African Rights & the International African Institute.

**Week 10: State failure and conflict (Apr 2)**

***\*\* Written assignment 3 due Monday April 1st.***

*Required readings*

**Chapters 2 and 7** in Robert H. Bates (2008). *When Things Fell Apart: State Failure in Late-Century Africa*. Cambridge, Cambridge University Press. (*see Courseworks*)

James Fearon (1995). “[Rationalist Explanations for War](http://www.jstor.org/stable/2706903),” International Organization 49(3), p379-414.

Amos Sawyer (2004). "[Violent conflicts and governance challenges in West Africa: the case of the Mano River basin area](http://journals.cambridge.org/action/displayFulltext?type=1&pdftype=1&fid=240016&jid=MOA&volumeId=42&issueId=03&aid=240015)." The Journal of Modern African Studies 42(03).

Blattman, Christopher, and Edward Miguel. "[Civil War](http://www.nber.org/papers/w14801)." *Journal of Economic Literature* 48.1 (2010): 3-57.

*Recommended readings*

Jonathan Di John (2008). “[Conceptualising the Causes and Consequences of Failed States: A Critical Review of the Literature](http://www.isn.ethz.ch/isn/Digital-Library/Publications/Detail/?&lng=en&id=57427)”.

Human Security Report 2009/2010, [Chapters 1 and 2](http://www.hsrgroup.org/docs/Publications/HSR20092010/20092010HumanSecurityReport-Part1-CausesOfPeace.pdf)

Goldstone, J. A. (2008). "[Pathways to State Failure](http://www.tandfonline.com/doi/abs/10.1080/07388940802397343)." Conflict Management and Peace Science 25(4): 285 - 296.

Crawford Young (2002). "[Deciphering Disorder in Africa: Is Identity the Key?](http://www.jstor.org/pss/25054202)" World Politics 54(4).

Collier, Paul, and Anke Hoeffler. "[Greed and grievance in civil war](http://oep.oxfordjournals.org/content/56/4/563.short)." *Oxford economic papers* 56.4 (2004): 563-595.

*Further reading*

Acemoglu, D. and J. Robinson (2012). Why Nations Fail: The Origins of Power, Prosperity, and Poverty, Crown Publishing Group (NY).

Robert H. Bates (2008). *When Things Fell Apart: State Failure in Late-Century Africa*. Cambridge, Cambridge University Press.

William Reno (1999). “Warlord Politics and African States”. Lynne Rienner.

William Reno (2011). “Warfare in Independent Africa”. Cambridge University Press.

Collier, Paul. *Wars, guns, and votes: Democracy in dangerous places*. Harper Perennial, 2010.

Cramer, Christopher. *Violence in developing countries: War, memory, progress*. Indiana University Press, 2007.

# Part IV: State and Institution Building

**Week 11: State and institution building (Apr 9)**

*Required readings*

**Sections 5 to 10** of Daron Acemoglu, Simon Johnson, and James Robinson. (2005). "[Institutions as a fundamental cause of long-run growth](http://www.sciencedirect.com/science/article/pii/S1574068405010063)." Handbook of economic growth 1: 385-472.

Bates, Robert H., John H. Coatsworth, and Jeffrey G. Williamson. 2007. "[Lost Decades: Post-independence Performance in Latin America and Africa](http://journals.cambridge.org/action/displayAbstract?fromPage=online&aid=1494924)." The Journal of Economic History.

Robinson, James A. 2006. “[Economic Development and Democracy](http://www.annualreviews.org/doi/pdf/10.1146/annurev.polisci.9.092704.171256),” Annual Review of Political Science 9: 503-527.

North, D. C., J. J. Wallis, and Barry Weingast. (2006). [A conceptual framework for interpreting recorded human history](http://www.nber.org/papers/w12795), National Bureau of Economic Research. 12795.

Weinstein, Jeremy M. 2005. "[Autonomous Recovery and International Intervention in Comparative Perspective](http://www.cgdev.org/content/publications/detail/2731/)." *Center for Global Development Working Paper* 57.

*Recommended readings*

Thelen, Kathleen. "[Historical institutionalism in comparative politics](http://www.annualreviews.org/doi/abs/10.1146/annurev.polisci.2.1.369)." *Annual review of political science* 2.1 (1999): 369-404.

Levitsky, Steven, and María Victoria Murillo. "[Variation in Institutional Strength](http://www.annualreviews.org/doi/pdf/10.1146/annurev.polisci.11.091106.121756)." *Annual Review of Political Science* 12 (2009): 115-133.

DFID. 2010. [Societies, States and Citizens. A policymaker's guide to the research](http://www.dfid.gov.uk/r4d/Output/184867/Default.aspx).

Besley, Tim and Persson, Torsten. [The Origins of State Capacity: Property Rights, Taxation and Politics](http://econ.lse.ac.uk/staff/tbesley/papers/originsofstatecapacity.pdf), *American Economic Review*, 99(4), 1218-44, 2009.

Lant Pritchett, Michael Woolcock and Matt Andrews. 2010. “[Capability Traps: The Mechanisms of Persistent Implementation Failure](http://www.hks.harvard.edu/fs/lpritch/NEW%20docs%2C%20ppts%2C%20etc/capability%20traps%20wpversion.pdf),” Background paper for the 2011 World Development Report on Development, Conflict and Fragile States.

James A. Robinson (2002). "[States and Power in Africa by Jeffrey I. Herbst: A Review Essay](http://www.jstor.org/stable/10.2307/2698386)." Journal of Economic Literature 40(2): 510-519.

*Further reading*

Acemoglu, Daron, and James A. Robinson. *Economic origins of dictatorship and democracy*. Cambridge University Press, 2005.

Besley, Timothy, and Torsten Persson. *Pillars of Prosperity: The Political Economics of Development Clusters*. Princeton University Press, 2011.

Greif, Avner. *Institutions and the path to the modern economy: Lessons from medieval trade*. Cambridge University Press, 2006.

Tilly, C. (1992). Coercion, Capital, and European States, AD 990–1992. Cambridge, MA, Blackwell.

Herbst, J. (1996). "Responding to State Failure in Africa." International Security 21(3).

**Week 12: Democratization and development (Apr 16)**

***\*\* Written assignment 4 due Monday April 15th.***

*Required readings*

Ishiyama Chapter 6

**p. 75-92** of Claude Ake (2000). *The Feasibility of Democracy in Africa*. Council for the Development of Social Science Research in Africa. (*see Courseworks*)

**Chapter 3** of Acemoglu, Daron, and James A. Robinson. *Economic origins of dictatorship and democracy*. Cambridge University Press, 2005. (*see Courseworks*)

van de Walle, Chapter 6

Haber, Stephen (2008). "[Authoritarian government](http://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199548477.001.0001/oxfordhb-9780199548477-e-038)." *The Oxford Handbook of Political Economy*: 693-707.

William Easterly (2011). “[Benevolent Autocrats](http://dri.as.nyu.edu/docs/IO/20085/DRIWP75.pdf).” unpublished working paper.

*Recommended readings*

Ishiyama, Chapter 5, 7, 8, 9

Engerman & Sokoloff, Chapters 4 and 5

Bates Robert H., et al. [The New Institutionalism and Africa](http://scholar.harvard.edu/rbates/publications/new-institutionalism-and-africa). The Journal of African Economies. Forthcoming.

Myerson, Roger B. "[The autocrat's credibility problem and foundations of the constitutional state](http://journals.cambridge.org/action/displayAbstract?fromPage=online&aid=1720724)." *American Political Science Review* 102.01 (2008): 125-139.

Besley, Timothy and Masayuki Kudamatsu (2007). "[Making Autocracy Work](http://econ.lse.ac.uk/staff/tbesley/papers/maw.pdf)." Unpublished working paper.

Fearon, James D. "[Self-enforcing democracy](http://qje.oxfordjournals.org/content/126/4/1661.short)." *The Quarterly Journal of Economics* 126.4 (2011): 1661-1708.

Michael Bratton and Eric C. C. Chang (2006). “[State Building and Democratization in Sub-Saharan Africa: Forwards, Backwards, or Together?](http://cps.sagepub.com/content/39/9/1059.abstract)” *Comparative Political Studies* 39, p.1059

Friedman, Willa, Michael Kremer, Edward Miguel, Rebecca Thornton. “[Education as Liberation?](http://www.nber.org/papers/w16939)” No. w16939. National Bureau of Economic Research, 2011.

Acemoglu, Daron, Simon Johnson, James Robinson, and Pierre Yared. (2008). “[Income and Democracy](http://scholar.harvard.edu/files/jr_income_democracy_pub_0.pdf)”, American Economic Review, 98(3), 808‐842.

Boix, Carles. "[Democracy, development, and the international system](http://www.princeton.edu/~cboix/apsr-boix-2011.pdf)." American Political Science Review 105.4 (2011): 809-28.

Olson, Mancur. "Dictatorship, democracy, and development." *American Political Science Review* (1993): 567-576.

*Further reading*

Michael Bratton and Nicolas Van de Walle (1997). *Democratic Experiments in Africa*, Cambridge: Cambridge University Press.

Ake, Claude. *Democracy and development in Africa*. Brookings Institution Press, 1996.

Claude Ake (2000). *The Feasibility of Democracy in Africa*. Council for the Development of Social Science Research in Africa.

Przeworski, Adam, et al. *Democracy and development: political institutions and well-being in the world, 1950-1990*. Vol. 3. Cambridge University Press, 2000.

**Week 13: Top down development? The “anarchist” critique of development (Apr 23)**

*Required readings*

Ferguson, J. with L. Lohmann (1994). “[The anti-politics machine: 'development' and bureaucratic power in Lesotho](http://www.colorado.edu/geography/class_homepages/geog_3682_f08/Articles/Ferguson%20-%20The%20Anti%20Politics%20Machine.pdf).” *The Ecologist* 24(5).

**Preface (p. ix-xxvi)** in James C. Scott. (2012). *Two Cheers for Anarchism*. (*see Courseworks*)

**Introduction (p.1-8) and 10 (Conclusions)** in James C. Scott. (1998). *Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed*. (*see Courseworks*)

William Easterly. [Planners vs. Searchers in Foreign Aid](http://williameasterly.files.wordpress.com/2010/08/49_easterly_plannersversussearcersinforeignaid_prp.pdf), Asian Development Review, 23, no. 2, (2006): 1-35.

Paul Seabright. 1999. “[The Aestheticising Vice](http://www.lrb.co.uk/v21/n11/paul-seabright/the-aestheticising-vice),” *London Review of Books* 21(11), p.26-27

*Recommended readings*

J. Bradford DeLong. 1999. “[Forests, Trees, and Intellectual Roots...: A review of James Scott’s Seeing Like a State](http://www.gmu.edu/depts/rae/archives/VOL12_2_1999/delong.pdf).”

Revisit (from above): UN Millennium Project, Jeffrey D. Sachs, [Investing in Development: A Practical Plan to Achieve the Millennium Development Goals](http://www.unmillenniumproject.org/documents/MainReportComplete-lowres.pdf), January 2005 (Chapters 1 and 2)

William Easterly. [The Big Push Déjà Vu: A Review of Jeffrey Sachs’s The End of Poverty: Economic Possibilities for Our Time](http://williameasterly.files.wordpress.com/2010/08/44_easterly_thebigpush_prp.pdf), Journal of Economic Literature, 44, no. 1 (March 2006): 289-318.

*Further reading*

Ferguson, J. (1990). The anti-politics machine:" development," depoliticization, and bureaucratic power in Lesotho, Cambridge Univ Press.

Scott, J. C. (1998). Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed, Yale University Press.

Scott, J. C. (2009). The art of not being governed: An anarchist history of upland Southeast Asia, Yale University Press.

Easterly, W. (2006). The white man's burden: why the West's efforts to aid the rest have done so much ill and so little good, Penguin Group USA.

**Week 14: Reflections and conclusions (Apr 30)**

***\*\* Written assignment 5 due Monday April 29th.***

*Required readings*

**Chapter 10 and Conclusion** of Banerjee, Abhijit, and Esther Duflo. 2011. [*Poor economics: a radical rethinking of the way to fight global poverty*](http://clio.cul.columbia.edu:7018/vwebv/holdingsInfo?bibId=9940438). Public Affairs. (Free online through Columbia library)

Landes, D. S. (1994). "[What room for accident in history? Explaining big changes by small events](http://onlinelibrary.wiley.com/doi/10.1111/j.1468-0289.1994.tb01395.x/abstract?deniedAccessCustomisedMessage=&userIsAuthenticated=false)." The Economic History Review 47(4): 637-656.Hyden, Chapters 11 and 12

Engerman & Sokoloff, Chapter 11

van de Walle, Chapter 7

**Chapter 15** in Daron Acemoglu and James Robinson (2012). *Why Nations Fail*, New York: Crown Publishers. (*see Courseworks*)

*Recommended readings*

Ishiyama, Chapter 10

Dani Rodrik (2006). “[Goodbye Washington Consensus, Hello Washington Confusion? A Review of the World Bank's Economic Growth in the 1990s: Learning from a Decade of Reform](http://www.jstor.org/stable/30032391)”. Journal of Economic Literature.

Valerie Bockstette, Areendam Chanda, and Louis Putterman (2002). [States and Markets: the Advantage of an Early Start](http://www.springerlink.com/content/xp22n851l881170l/), *Journal of Economic Growth*, 7, 347-369

**Final Exam: (Tentatively) scheduled for May 14, 4:10pm to 7. This may change.**